



ZIMBABWE STOCK EXCHANGE LIMITED

Practice Note 6

To the Securities and Exchange Rules, Statutory Instrument 134 of 2019

(“ZSE Listing Rules”)

Issued pursuant to section 3 (1) (h) of Statutory Instrument 134 of 2019 of the ZSE Listing Rules

PROCEDURES REGARDING SUBMISSION OF THE ANNUAL COMPLIANCE CERTIFICATE

1. Definitions

Terms used in this Practice Note which are defined or interpreted in the ZSE Listing Rules shall have the same meaning as in the ZSE Listing Rules.

2. Submission of Compliance Certificate

In line with Section 5 (1) of the ZSE Listing Rules, “All listings must be reviewed and revised by the ZSE annually after receipt by the ZSE of a certificate from each issuer complying with the Eighteenth Schedule (“the certificate”) by not later than the 31st January in each year (“the due date”).”

3. Application of Section 5 (1)

The annual compliance certificates should be submitted on or before 31 January of each successive year to facilitate compliance with the requirements of s5 (1) of SI 134 of 2019.

4. All information communicated should be signed by a duly authorised person for and on behalf of the directors of the company.
5. Where the applicable ZSE Listing Rules, require documents to be sent, submitted or forwarded to the Exchange they must be delivered to Issuer Regulation.
6. This Practice Note takes effect from 17 January 2020.

Issued by

Zimbabwe Stock Exchange