



ZSE MARKET SURVEILLANCE SEMINAR

24 FEBRUARY 2023
SABRE BUSINESS WORLD, HARARE

PROGRAMME OVERVIEW

- ▶ On the 24th of May 2022, The Zimbabwe Stock Exchange was given the mandate to perform Market wide Surveillance under the Statutory Instrument 103A of 2023. In compliance to the requirements of the SI the Exchange will be launching a Surveillance System that will assist in monitoring transactions to reduce market manipulation.
- ▶ In preparation for the launch, ZSE will be conducting a FULL day market wide training on Market Surveillance and Market Manipulation.

AIMS AND OBJECTIVES

- ▶ Market Surveillance definition and its importance
- ▶ Market Manipulations definition and why it is illegal including prohibited strategies
- ▶ Market Surveillance investigations process and procedures
- ▶ Implication of non-compliance and illegal market manipulation
- ▶ Overview of Statutory Instrument 103A of 2022 and Stock Brokers requirements to Market Surveillance.

GUEST SPEAKERS

- ▶ **Members of other Exchanges in Africa**
- ▶ **Securities Exchange and Commission of Zimbabwe**
- ▶ **Zimbabwe Stock Exchange**

BENEFITS OF ATTENDING

At the end of the training, participants will:

- ▶ Have an understanding of the purpose of Market Surveillance
- ▶ Have an understanding of market manipulation and prohibited strategies
- ▶ Have an appreciation of investigations processes and procedures
- ▶ Have an understanding SI 103A of 2022 requirements

WHO SHOULD ATTEND

- Stock Brokers
- Asset Managers
- Depositories
- Custodians
- Transfer Secretaries
- Ministry of Finance
- Reserve Bank of Zimbabwe

Cost Per Delegate – USD\$100.00

VENUE: SABRE BUSINESS WORLD, 146 E.D MNANGAGWA ROAD HIGHLANDS HARARE

Registration Form: <https://www.zse.co.zw/trainings/>

Banking Details

Contact: accounts@zse.co.zw or surveillance@zse.co.zw

or call 0242 2886830-5 / 08677009115

NB: Write name of delegate when processing the payment